

Janus Henderson Horizon Global Sustainable Equity Fund

LU1984711512

Issuer	Janus Henderson
Fund provider	Janus Henderson
Fund type/Asset class	Equity fund
Legal form	SICAV
Fund issue date	29/05/2019
Last Update	30.05.2024
Benchmark	MSCI World
Tranche	Private Investors Institutional Investors foundations

Risk Indicator (PRIIP) 4 of 7	Licensed in D, A, CH, LU	Classification by SFDR Article 9	EU Taxonomy -	% of Sustainable Investments according to SFDR -
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Principal Adverse Impacts



Sustainable Development Goals



NORMAL ALLOCATION

Equities	80 % to 100 %
Bonds	Excluded
Money market/Bank deposits	Excluded
Commodities	Excluded
Direct investments	Excluded
Derivatives	Excluded
Other	-

Sustainability approach

Principal Adverse Impacts	<ul style="list-style-type: none"> ✓ Greenhouse Gas Emissions ✓ Water ✓ Waste ✓ Energy Efficiency and Fossil Fuels ✓ Social and Employee Matters
Further remarks on the consideration of SDGs	-
Rationale behind classification according to SFDR	<p>Article 9</p> <p>We track the sustainability and ESG characteristics of portfolio companies and monitor a variety of associated KPIs (and Principal Adverse Impacts). Every equity investment in the portfolio must meet our sustainable investment objective, defined as every portfolio company having at least 50% revenue alignment with one of our ten stated environmental and social sustainable development themes. The portfolio will also maintain a weighted average carbon intensity that is at least 20% below that of the MSCI World index. The JHG Global Sustainability Equity SICAV is designated as an SFDR Article 9 fund, and as such a number of these definitions and KPIs are included in that funds regulatory reporting.</p>
Engagement	Yes, organized internally
Voting	Yes, organized internally
Best-in/of-Class	No N/A
ESG-Integration	<p>Yes</p> <p>Our four key elements to an investment approach integrate sustainability by using both positive and negative (avoidance) investment criteria and considering both the products and operations of a business. Company engagement and active portfolio management are also essential features.</p>
Norm-based screening	<ul style="list-style-type: none"> ✓ UN Global Compact ✓ ILO core labour standards ✓ OECD Guidelines for Multinational Enterprises
Themes	<ul style="list-style-type: none"> ✓ Climate change ✓ Agriculture and forestry ✓ Renewable Energies ✓ Water
Impact Investing	<ul style="list-style-type: none"> ✓ Environmental ✓ Social ✓ Governance <p>Our voting and engagement are structured around providing solutions to environmental and social challenges. Our approach is informed by the Quintuple Helix Innovation Model*. The model supports the formation of a mutually beneficial situation between ecology, knowledge, and innovation, creating interactions between economy, society, and democracy. It is an approach that is geared towards innovation within the context of sustainable development. *Carayannis, Elias G.; Barth, Thorsten D.; Campbell, David F.J. (2012). 'The Quintuple Helix innovation model: global warming as a challenge and driver for innovation'. Journal of Innovation and Entrepreneurship.</p>
Further information on the sustainability approach	<p>Environmental and social considerations are integral to the investment philosophy and process, from universe definition and idea generation through to fundamental analysis, engagement, and portfolio management. A dedicated sustainability professional within the team enables deep integration of sustainability issues into investment process and enhances our ability to analyse issues from multiple angles. We believe there is a strong link between sustainable development, innovation and long term compounding growth. Our investment framework seeks to invest in companies that have a positive impact on the environment and society; and at the same time, it helps us stay on the right side of disruption by avoiding companies we consider to be involved in activities that are harmful to the environment or society. We believe this approach will provide clients with a persistent return source, deliver future compound growth and help mitigate downside risk.</p> <p>Link to sustainability approach</p>

Research and Transparency

In-house sustainability analysis	Yes, 5
Research partners	Yes Our principle external ESG data provider is MSCI, however, we also use several other ESG research providers including Sustainalytics, ISS, and Moody's ESG Solutions (FKA Vigeo EIRIS).
Percentage of portfolio with sustainability analysis	100 %
Strategically important suppliers included	No
Sustainability advisory committee	Right of proposal and veto ESG Oversight Committee Janus Henderson's ESG Oversight Committee (ESGOC) is accountable for the firm's key strategic decisions with respect to ESG investment processes, implementation of regulatory changes, ESG data and product design.
Analysis of portfolio CO2	Yes, backward-looking indicator Please refer to the following document for a comprehensive description of our data methodology: https://cdn.janushenderson.com/webdocs/AnnualSustainabilityReport_Global+Sustainable+Equity+Appendix_2023_11_exp_2024_12.pdf
Sustainability reporting	Yes We track the sustainability and ESG characteristics of portfolio companies and monitor a variety of associated KPIs (and Principal Adverse Impacts). Every equity investment in the portfolio must meet our sustainable investment objective.
At least monthly portfolio publication	Yes Link to monthly portfolio publication
Certification/Label awarded by independent auditors	✓ Label ISR ✓ Luxflag Label
Contact for inquiries	Carsten Herwig

Environmental

EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

Fossil fuels (Coal, Oil and Gas)	Yes 5 % Turnover threshold
Nuclear power (operation and components)	Yes 5 % Turnover threshold
Green genetic engineering	Yes 5 % Turnover threshold
Chlorine and agrochemicals (biocides)	Yes 5 % Turnover threshold
Other	Please refer to Page 9 of: https://cdn.janushenderson.com/webdocs/InvestmentPrinciples_GlobalSustainableEquityStrategy_ENG_2023_09_exp_2024_09.pdf 5 % Turnover threshold

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Environmentally damaging behaviour	Yes
Damage to biodiversity	Yes
Other	-

EXCLUSION CRITERIA – COUNTRIES

Non-ratification of the Paris Climate Agreement	No
Non-ratification of the Protocol on Biosafety	No
Non-ratification of the UN Biodiversity Convention	No
Nuclear power by proportion of gross energy production	No
Other	Please refer to Page 9 of: https://cdn.janushenderson.com/webdocs/InvestmentPrinciples_GlobalSustainableEquityStrategy_ENG_2023_09_exp_2024_09.pdf

Social

EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

Weapons/Armaments	Yes
Cluster bombs and anti-personnel mines	Yes
Weapons of mass destruction (ABC/CBRN)	Yes
Tobacco products	Yes 5 % Turnover threshold
Pornography	Yes 5 % Turnover threshold
Spirits/Alcohol	Yes 5 % Turnover threshold
Gambling	Yes 5 % Turnover threshold
Other	Please refer to Page 9 of: https://cdn.janushenderson.com/webdocs/InvestmentPrinciples_GlobalSustainableEquityStrategy_ENG_2023_09_exp_2024_09.pdf 5 % Turnover threshold

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Labour rights (ILO core labour standards)	Yes
Exploitative child labour	Yes
Violation of human rights	Yes
Animal testing	Yes
Other	-

EXCLUSION CRITERIA – COUNTRIES

Labour rights	Yes
Violation of human rights	Yes
Military spending as a percentage of GDP	No
Death penalty, torture	Yes
Countries classified by Freedom House as not free	N/A
Violations of the Nuclear Non-Proliferation Treaty	Yes
Violations of other non-proliferation treaties	Yes
Other	-

Governance

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Corruption and bribery	Yes
Tax: planned avoidance & detected violations	Yes
Other	Please refer to the provided Investment Principles document: https://cdn.janushenderson.com/webdocs/InvestmentPrinciples_GlobalSustainableEquityStrategy_ENG_2023_09_exp_2024_09.pdf

EXCLUSION CRITERIA – COUNTRIES

Corruption	Yes
Other	Please refer to the provided Investment Principles document: https://cdn.janushenderson.com/webdocs/InvestmentPrinciples_GlobalSustainableEquityStrategy_ENG_2023_09_exp_2024_09.pdf

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