

Vontobel Fund - mtX Sustainable Emerging Markets Leaders

LU0571085413

Emittent	Vontobel Asset Management S.A.
Fondsanbieter	Vontobel Asset Management AG
Fondstyp/Asset Klasse	Aktienfonds
Rechtsform	SICAV
Auflage des Fonds	04.12.2017
Letzte Aktualisierung	07.02.2024
Benchmark	MSCI Emerging Market TR net (USD)
Tranche	-

Risikoindikator (BIB)
6 von 7

Zugelassen in
D, A, CH, LU

Eingruppierung nach SFDR

-

EU Taxonomie

-

Investitionsquote nach SFDR

-

Principal Adverse Impacts

Keine expliziten Angaben zu den Principal Adverse Impacts.

Ziele für nachhaltige Entwicklung

Keine expliziten Angaben zu den Zielen für nachhaltige Entwicklung

REGELMÄSSIGE ALLOKATION

Aktien	80 % bis 100 %
Anleihen	Ausgeschlossen
Geldmarkt/Bankeinlagen	0 % bis 20 %
Rohstoffe	Ausgeschlossen
Direktbeteiligungen	Ausgeschlossen
Derivate	0 % bis 20 % (nur zur Absicherung)
Weitere	-

Nachhaltigkeitsansatz

Principal Adverse Impacts	-
Erläuterungen zur Berücksichtigung der SDGs	-
Begründung der Eingruppierung nach SFDR	-
Engagement	Ja, intern organisiert Link zur Engagemerichtlinie
Stimmrechtsausübung	Ja, extern organisiert Link zur Abstimmungsrichtlinie
Best-in/of-Class Auswahlverfahren	Nein

ESG-Integration	<p>Ja</p> <p>The Investment Manager excludes from the investment uni-verse of the Sub-Fund, all companies producing controversial weapons, tobacco, and palm oil and companies who derive more than a de-minimus percentage of revenues from the following activities: nuclear energy, coal power or coal extraction, oil sands, weapons related military contracting, or adult entertainment, as well as tobacco retailers but with a higher revenue share (please see Vontobel.com/SFDR for up-to-date revenue thresholds for these excluded activities). These controversial sectors are excluded because they are not considered economically successful in the long-term and/or because they cause harm to Sustainability Factors (in particular the environment and public health and safety). The Sub-Fund also excludes companies that are evaluated to have failed international norms and standards (subject to certain specific criteria that allows companies scope to remediate the breach) as codified in the: i) UN Global Compact; ii) OECD Guidelines for Multinational Enterprises; iii) UN Guiding Principles on Business and Human Rights; iv) International Labor Organization's Conventions; as well as iv) underlying conventions and treaties of the above named codes and a long list of other international conventions, norms and instruments. Additionally, the Sub-Fund adheres to the official UN list of sanctioned countries in respect of oppressive regimes and do not invest in companies domiciled in such a country. Based on systematic filtering of the remaining eligible investment universe, the Investment Manager seeks to identify market-leading companies by analyzing fundamental data of the companies, such as return on invested capital and their market position. Companies that provide the best outcome after the completion of the above described stock selection process (Leaders) represent the investment pool for the Sub-Fund. Within this filtered investment universe of Leaders, the Investment Manager conducts additional financial modeling. The outcome of such modelling is a sub-pool of Leaders that are considered potentially eligible for investment, so called the Investible EM Universe. Sustainability (environmental, social and governance (ESG)) assessments are conducted on the Investible EM Universe. The Investment Manager's sustainability investment process consists of detailed, systematic qualitative and quantitative analysis of a potential investee's Sustainability Risks and Sustainability Factors (collectively Sustainability Indicators). Integrating Sustainability Indicators is a central pillar in the investment process with the aim of improving the long-term risk-return characteristics of the Sub-Fund's portfolio and supporting elevated social or environmental practices by the investee companies. The Investment Manager is motivated by the understanding that its investments have the potential to affect society and the environment, and that such investments are affected by society and the environment. The sustainability approach avoids investments in issuers who demonstrate, through various criteria, that they are under-prepared to avoid material Sustainability Risks and identifies issuers that seek to include environmental or social characteristics in their economic activities (as have been evaluated to be most material according to the company's principle business sector). As such, both the risk profile of the Sub-Fund's portfolio is enhanced and the Sub-Fund selects companies who are better at managing their real world impacts. The investee companies are rated for governance aspects using the Investment Manager's sustainability framework. Common governance indicators include board independence & diversity, ownership structures, rights of minority shareholders, executive remuneration, and audit and ac-counting oversight. For each Sustainability Indicator, companies are scored against defined performance thresholds and the indicators have sector-tailored weights to adjust their impact on the overall score. Companies must achieve an overall pass score to be eligible for investment. More information about the strategies, indicators and data sets used to evaluate company's sustainability performance can be found at vontobel.com/SFDR. In addition, the Investment Manager has an over-riding "F-Score" whereby the potential investee company fails the assessment on any Sustainability Indicator(s) even if the company would otherwise attain a pass mark. Thereby, there are hard redlines preventing investment in companies that have highly negative impact on society or the environment even where the business case is unaffected. The implementation of the sustainability selection criteria, as described above, leads to the exclusion of at least 20% of potential investments via the exclusions applied to the starting universe plus the further sustainability screening of the Investible EM Universe. 100% of the securities in the Sub-Fund have had the sustainability selection criteria applied to it. The Sub-Fund further promotes environmental and social characteristics via active ownership, which the Investment Manager considers central to sustainable investing to achieve more sustainable outcomes for society and to support long-term risk adjusted returns for investors in the Sub-Fund. Direct engagements by the Sub-Fund typically target gross breaches of Sustainability Factors or high Sustainability Risks and/or material sustainability issues where disclosure is weak, thereby undermining the Sub-Fund's ability to make an informed evaluation of Sustainability Risk or impact. Compliance with the environmental, social and governance characteristics is monitored using news alert tools on an on-going basis and, in the event of severe incidents, the F-Score Framework was designed to provide a clear decision tree to assess severity of incidents and therefore rule on the need to divest or else to engage regarding the controversy. Each asset in the portfolio has their sustainability performance periodically reevaluated using the sustainability framework.</p>
Normbasiertes Screening	<ul style="list-style-type: none"> ✓ UN Global Compact ✓ ILO-Kernarbeitsnormen ✓ OECD Richtlinien für Multinationale Unternehmen
Themen	-
Impact Investing	-
Nachhaltigkeitsansatz des Fondsanbieters	🔗 Link zum Nachhaltigkeitsansatz

Research und Tranzparenz

Interne Nachhaltigkeitsanalyse	Ja, 14 (3 for mtX ESG franchise)
Researchpartner	Ja MSCI ESG, Sustainalytics, Inrate, Hermes EOS
%-Anteil des Portfolios mit Nachhaltigkeitsanalyse	100 %
Einbezug von strategisch wichtigen Zulieferern	Ja
Nachhaltigkeitsbeirat	Nein
CO2 Portfolio Analyse	Ja, rückwärtsgerichteter Indikator
Nachhaltigkeitsreporting	Ja
Mind. monatliche Portfolioveröffentlichung	Ja
Zertifizierung/Auszeichnung durch unabhängige Auditoren	-

Umwelt

AUSSCHLUSS VON BRANCHEN/GESCHÄFTSFELDERN UNTERNEHMEN

Fossile Brennstoffe (Kohle, Öl und Gas)	Nein
Kernenergie (Betrieb und Komponenten)	Ja 10 % Umsatztoleranz
Grüne Gentechnik	Nein
Chlor und Agrochemie (Biozide)	Nein
Weitere	Coal, Oil Sands 10 % Umsatztoleranz

AUSSCHLUSS VON GESCHÄFTSPRAKTIKEN UNTERNEHMEN

Umweltschädliches Verhalten	Nein
Schädigung der Biodiversität	Nein
Weitere	Not formally excluded but part of the ESG analysis.

AUSSCHLUSSKRITERIEN STAATEN

Nichtratifizierung Pariser Klimaabkommen	N/A
Nichtratifizierung des Protokolls über biolog. Sicherheit	N/A
Nichtratifizierung der UN-Biodiversitäts-Konvention	N/A
Kernenergie nach Anteil an der Bruttoenergieerzeugung	N/A
Weitere	-

Soziales

AUSSCHLUSS VON BRANCHEN/GESCHÄFTSFELDERN UNTERNEHMEN

Waffen/Rüstungsgüter	Ja
Streubomben und Antipersonenminen	Ja
Massenvernichtungswaffen (ABC/CBRN)	Nein
Tabakwaren	Ja
Pornographie	Ja 10 % Umsatztoleranz
Spirituosen/Alkohol	Nein
Glücksspiel	Nein
Weitere	-

AUSSCHLUSS VON GESCHÄFTSPRAKTIKEN UNTERNEHMEN

Arbeitsrechte (ILO Kernarbeitsnormen)	Nein
Ausbeuterische Kinderarbeit	Nein
Menschenrechtsverletzung	Nein
Terversuche	Nein
Weitere	Not formally excluded but part of the ESG analysis.

AUSSCHLUSSKRITERIEN STAATEN

Arbeitsrechte	N/A
Menschenrechtsverletzung	N/A
>3% - Anteil Rüstungsausgaben vom BIP	N/A
Todesstrafe, Folter	N/A
Unfreie Staaten laut Freedom House	N/A
Verstöße gegen den Atomwaffensperrvertrag	N/A
Verstöße gegen sonstige Waffensperrverträge	N/A
Weitere	-

Governance

AUSSCHLUSS VON GESCHÄFTSPRAKTIKEN UNTERNEHMEN

Korruption und Bestechung	Nein
Steuer: planmäßige Vermeidung & festgestellte Verstöße	Nein
Weitere	-

AUSSCHLUSSKRITERIEN STAATEN

Korruption	N/A
Weitere	-

Quelle: Unternehmensangaben. | Für die Angaben der Unternehmen kann keine Haftung übernommen werden. Die Angaben dienen nur zur Information und stellen keine Beratung oder Empfehlung dar. Bitte beachten Sie den Haftungsausschluss unter www.forum-ng.org/disclaimer. Das FNG-Nachhaltigkeitsprofil bietet nur einen ersten Überblick und ist kein Ersatz für eine eigenständige Analyse. Weiterführende Erläuterungen finden Sie unter www.forum-ng.org